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TRANSMITTAL FORM

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Total Number Of Pages In This Submission

4

Application Number

09/899,489

Filing Date

July 5, 2001

First Named Inventor

Desmond D. MASCARENHAS

Examiner Name

To Be Assigned

Group Art Unit

To Be Assigned

Attorney Docket No.

479942000400

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☒ Amendment (3 pages)

☐ After Final

☐ Affidavits/declarations

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement
w/Form 1449

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/
Incomplete Application

☐ Response to Missing Parts
under 37 CFR 1.52 or 1.53

☐ Assignment Papers
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a
Provisional Application

☐ Power of Attorney, Revocation
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ After Allowance Communication to
Group

☐ Appeal Communication to Board of
Appeals and Interferences

☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosures (please identify below):

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Remarks

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm

Morrison & Foerster, 425 Market Street, San Francisco, CA 94105

or

Individual Name

Erwin J. Basinski, Reg. No. 34,773

Signature

Date

July 31, 2001

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Valerie L. Cohen

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#2

PATENT
Docket No. 479942000400

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Valerie Cohen
Valerie Cohen

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Desmond D. MASCARENHAS

Serial No.: 09/899,489

Filing Date: July 5, 2001

For: SYSTEM AND METHOD FOR
ANONYMOUS TRANSACTION IN A
DATA NETWORK AND
CLASSIFICATION OF INDIVIDUALS
WITHOUT KNOWING THEIR REAL
IDENTITY

Examiner: To Be Assigned

Group Art Unit: To Be Assigned

PRELIMINARY AMENDMENT

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Prior to examination on the merits in the subject application, please enter the following preliminary amendment.

In The Specification

Under "CROSS REFERENCE TO RELATED APPLICATIONS," please delete paragraph [0001], page 2, and insert new paragraph [0001], page 2 with the following: